



COGNITIVE GRC

Governance · Risk · Compliance



Online Training Brochure

2026

Practical online training for SFC-regulated firms, hedge fund managers, private equity managers and other financial services businesses.

Cognitive GRC Limited

20th Floor, LKF Tower, 33 Wyndham Street, Central, Hong Kong
+852 3905 2886 · info@cognitivegrc.com · www.cognitivegrc.com

[COGNITIVEGRC KNOWLEDGE BAR](https://knowledge.cognitivegrc.com)

<https://knowledge.cognitivegrc.com>



Practical online training for regulated firms

In addition to live in-house training, Cognitive GRC provides online training for SFC-regulated firms, hedge fund managers, private equity managers and other financial services businesses that need concise, practical and practitioner-led compliance training.

Our courses are designed by experienced compliance practitioners and are intended to support staff awareness across regulatory obligations, conduct, financial crime, operational resilience, cyber awareness and AI-related risks.

Each module can be purchased on a standalone basis or combined into a wider annual program. Content can be hosted by Cognitive GRC or deployed into the firm's own learning management system, with bespoke tailoring and branding available where required.

Cognition

Unfortunately, when you laid down targets, the outcomes often morph towards the targets rather than the fundamental obligations of teaching staff important matters that will save you significant time in operational and administrative headaches with the potential of preventing control slips that sometimes results in more serious infractions. The issue with most CPT training that attempts to tick a box is that they can potentially create training blindness which is why the design needs to be more effective in delivering the right level of information and understanding to prevent issue which lead to operational drag. We aim to deliver training at the right level to achieve both the regulatory minimum expected reminders but also delivering control objectives.

LMS Deployment

Learning Management System — Training Academy Partner

As a Training Academy Partner with iSpring Learn, Cognitive GRC can deploy a full in-house Learning Management System ("LMS") for client firms and act as the implementation and content partner behind it. The service extends what firms already receive when they purchase our hosted courses: instead of a shared training environment, the firm operates its own private academy, branded to the firm, controlled by its own administrators, and integrated with our compliance training library from day one.

The LMS gives compliance, HR and operations teams a single cloud environment in which to track every training and development activity the firm runs — Cognitive GRC modules, the firm's own internal courses, legacy induction material, third-party content, live-session attendance records, and policy attestations. Reporting, certificates, expiry reminders and completion evidence sit in one system rather than across spreadsheets, mailboxes and vendor portals, which materially reduces one of the more persistent administrative drains on a growing regulated business.

What the deployment includes



- **LMS set-up and configuration.** Tenant provisioning, branding, user structure, group and department mapping, role and permission design, single sign-on where required, and completion-tracking rules aligned to the firm's CPT and induction cycles.
- **Course library pre-loaded.** The firm's LMS is populated with the Cognitive GRC training range — SFC Initial and Refresher, AML/CTF Core Concepts, Anti-Bribery and Corruption, Cyber AI and Continuity, and, where licensed, Market Misconduct — with automatic enrolment, reminder and certificate workflows tested before go-live.
- **Firm content on-boarded.** Existing induction decks, internal policies, e-learning files (SCORM, video, PDF) and live-training attendance registers are loaded into the same environment so the LMS becomes the single record of training across the firm.
- **Ongoing enablement.** Administrator training, a documented operating manual for the firm's LMS team, quarterly configuration reviews, and support for new module rollouts as regulation evolves or the firm adds internal programmes.

Why firms use it

The LMS lets a firm reinforce and develop its own ongoing training on the elements that are genuinely specific to it — its funds, its counterparties, its systems, its policies — while relying on Cognitive GRC's library for the underlying regulatory content that is common across managers. Because the environment is cloud-based, user-friendly and reporting-led, compliance, HR and department heads can evidence training completion in real time and address most of the firm's training and internal-controls testing obligations without building a separate infrastructure.

Self-deployment — SCORM-ready option

Firms that already operate their own LMS, or that intend to self-deploy at a reasonable price point, may contact the Cognitive GRC team to discuss a SCORM-ready version of our training range that the firm administers directly. SCORM — Sharable Content Object Reference Model — is the set of technical standards that allows e-learning content to be shared and tracked across different Learning Management Systems, so a SCORM-packaged Cognitive GRC course can be loaded into the firm's existing LMS and will report completion, scoring and certificate data back to that system in the standard way. Licensing, update cycles and content-refresh terms are set out at the point of engagement.

Getting started

Firms interested in either a fully deployed LMS or a SCORM-ready licence should contact the Cognitive GRC team using the details on the cover of this brochure. A short scoping call is normally sufficient to confirm scale, timelines and the right delivery model for the firm.

Training range

The current 2026 training range covers the core conduct, financial crime, cyber and resilience topics most relevant to non-retail asset management and brokerage firms operating under SFC and related international regimes:

- ▶ **SFC Initial / Refresher Training.** Core annual refresher for licensed persons and substantial shareholders. Effective reminders of key regulatory obligations for all staff.
- ▶ **AML / CTF Core Concepts.** Anti-money laundering and counter-terrorist financing awareness, including digital assets and stablecoins. Base AML for all staff to remind them of obligations.
- ▶ **Anti-Bribery and Corruption.** Bribery, fraud and corruption concepts, red flags, gifts and entertainment, and third-party risks. Key Ethics considerations
- ▶ **Cyber AI and Continuity 2026.** AI and LLM basics, use-case assessment, continuity and cyber resilience. Foundational explainer with key regulatory obligations for all stakeholders.
- ▶ **Market Misconduct – Insider Trading and Market Sounding.** Premium specialist conduct training focused on insider dealing, market sounding, and the handling of confidential, price-sensitive information.
- ▶ **SFC Refresher/AML Foundational and Cyber AI.** Combined program.

Further course are available and in development including translated versions.



Pricing

Pricing is structured by course duration so the range is easier for clients to understand and to purchase, while Market Misconduct remains a premium specialist module. Volume and multiyear discounts are available for broader client rollouts.

Course type		Standard price 30 Day Access	Cognitive GRC client / 10+ users
30-minute online module	0.5	HKD 400	HKD 350
45-minute online module	0.75	HKD 400	HKD 350
60-minute online module	1	HKD 750	HKD 650
Market Misconduct — premium 60-minute module	1	HKD 1,000	HKD 850
Combined Intro, AML and Cyber	2.25	HKD1,200	HKD1,050

Note that the courses are provided via the system come with three different access windows. 30 days, 60 days and 90 days as the cost of hosting an individual on the system is HKD50 dollars per month so we encourage single users to complete within 30 days but they can be extended for an administrative fee of HKD50 dollars.

Cognitive GRC clients and firms purchasing ten or more places benefit from the reduced rate shown in the right-hand column. Bundle pricing is available on request for multi-module and annual program arrangements. They can request client discount codes for each purchase.

This price includes the system costs, the tracking, reporting and manager oversight if required.

For larger firms we can also help deploy a Learning Management System which client can curate themselves and we will include our standard training.

The Learning Management System provider has given us special rates for setting up systems so that the firm can manage all its training needs in one place. We can provide the content or you can source courses from elsewhere.



Training modules

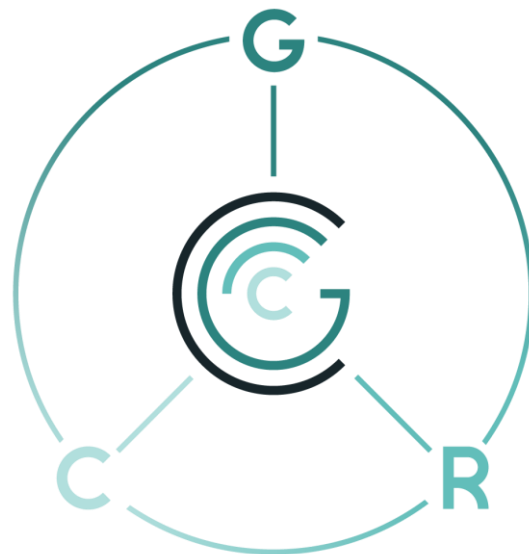
Each module is designed to deliver concise, regulator-aware content that can be completed as part of an annual refresher cycle, an onboarding programme, or a thematic awareness campaign. Firms should confirm CPT applicability internally before purchase.



SFC Initial / Refresher Training

This course is designed to remind licensed persons and substantial shareholders of their obligations and the expectations placed upon them within SFC-regulated firms. It is suitable as a core annual refresher module within a broader compliance programme.

60-minute module · HKD 750 standard · HKD 650 client / 10+ users



AML / CTF Core Concepts

This course provides broad awareness of anti-money laundering and counter-terrorist financing obligations for staff at SFC-regulated firms dealing primarily with non-retail clients. It also covers digital assets and stablecoins as part of the wider AML / CTF risk landscape, including typologies, customer due diligence, ongoing monitoring and the role of staff in identifying and escalating unusual activity.

45-minute module · HKD 400 standard · HKD 350 client / 10+ users



Anti-Bribery and Corruption

This training introduces bribery, fraud and corruption concepts, outlines the relevant practical and legislative themes, and covers red flags, controls, gifts and entertainment, and third-party service provider risks. The module is suitable for staff across the business and supports the firm's wider conduct and financial-crime framework.

45-minute module · HKD 400 standard · HKD 350 client / 10+ users

Cyber AI and Continuity 2026

This module builds on Cognitive GRC's operational resilience and AI-related material and covers AI and LLM basics, use-case assessment, continuity and cyber resilience. The 2026 release reflects the latest regulator focus on responsible AI use, data handling and operational continuity, and includes a short quiz to reinforce learning.

30-minute module · HKD 400 standard · HKD 350 client / 10+ users



Market Misconduct — Insider Trading and Market Sounding

This premium specialist conduct training is primarily focused on insider dealing and is designed to help the broader business understand the risks arising from trading while in possession of non-public price-sensitive information. The 2026 release also highlights market sounding and the handling of confidential information within a wider conduct framework.

Premium 60-minute module · HKD 1,000 standard · HKD850 client / 10+ users

Bundle options

Modules can be combined into bundles to support a firm's annual training programme, onboarding cycle, or thematic awareness campaign. Bundles can be priced on a per-user or per-firm basis, with volume and multi-year discounts available on request.

Core Compliance Bundle SFC Initial / Refresher Training, AML / CTF Core Concepts and Anti-Bribery and Corruption — the foundation set for annual staff training.	Digital Resilience Bundle Cyber AI and Continuity 2026 combined with one core compliance module — suitable for firms strengthening cyber, AI and operational resilience awareness.
Conduct and Risk Bundle Market Misconduct, Anti-Bribery and Corruption, and Cyber AI and Continuity 2026 — focused on conduct, financial crime and resilience risk.	Annual Compliance Bundle Multi-module access for firms with annual refresher, onboarding and thematic awareness needs across the full Cognitive GRC catalogue.

We are developing training covering, investment compliance, risk management, operational resilience, climate, conflicts management, best execution, marketing and onboarding which will be available via this system.

Delivery and branding

Content can be delivered through Cognitive GRC's hosting arrangements or deployed to a client LMS as required. Deployment is charged separately based on hourly rates..

Bespoke tailoring, branding and content updates can be added on request, and modules can carry the client's own visual identity where required by internal training standards.



Get in touch

Cognitive GRC's online training is designed to give firms practical, regulator-aware content that can be accessed on a standalone basis or as part of a broader annual programme. To discuss your firm's training requirements, request bundle pricing, or arrange LMS deployment support, contact the Cognitive GRC team.

Cognitive GRC Limited

21st Floor, LKF Tower, 33 Wyndham Street, Central, Hong Kong

Telephone: +852 3905 2886

Email: info@cognitivegrc.com

Web: www.cognitivegrc.com

The information contained in this document is proprietary and confidential. If you are not the intended recipient, please note that any use or circulation of this document is not permitted and may be cause for legal action.

